

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P O Box 1430 Alexandra, Virginia 22313-1450 www.wepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/591,100	10/25/2006	Immanuel Straub	516/12	6349	
27538 GIBSON & D	7590 07/06/2009 ERNIER L.L.P.	EXAMINER			
900 ROUTE 9 NORTH			EASTWOOD, DAVID C		
SUITE 504 WOODBRIDG	GE, NJ 07095		ART UNIT	PAPER NUMBER	
	,		3731		
			MAIL DATE	DELIVERY MODE	
			07/06/2009	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Advisory Action Before the Filing of an Appeal Brief

Application No.	Applicant(s)		
10/591,100	STRAUB, IMMANUEL		
Examiner	Art Unit		
DAVID EASTWOOD	3731		

	DAVID EASTWOOD	3731						
The MAILING DATE of this communication appears on the cover sheet with the correspondence address								
THE REPLY FILED 22 June 2009 FAILS TO PLACE THIS APP	PLICATION IN CONDITION FOR A	LLOWANCE.						
The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:								
a) The period for reply expires months from the mailing date of the final rejection.								
b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. Examiner Note: If box 1 is checked, check either box (a) or (b), ONLY CHECK BOX (b) WHEN THE FIRST REPLY STELLY S								
MONTHS OF THE FINAL REJECTION. See MPEP 706.07(	MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).							
Extensions of time may be obtained under 37 CFR 1.138(a). The date have been filled is the date for purposes of determining the period of ex under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patient term adjustment. See 37 CFR 1.704(b) NOTICE OF APPEAL.	tension and the corresponding amount of shortened statutory period for reply origin than three months after the mailing date	of the fee. The appropri- nally set in the final Office	ate extension fee te action; or (2) as					
The Notice of Appeal was filed on A brief in comp	liance with 37 CFR 41 37 must be f	iled within two month	s of the date of					
filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).								
<u>AMENDMENTS</u>								
<ol> <li>The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will <u>not</u> be entered because</li> <li>They raise new issues that would require further consideration and/or search (see NOTE below);</li> </ol>								
<ul> <li>(b) ☐ They raise the issue of new matter (see NOTE below)</li> <li>(c) ☐ They are not deemed to place the application in belappeal; and/or</li> </ul>		lucing or simplifying t	he issues for					
(d) ☐ They present additional claims without canceling a	corresponding number of finally reje	ected claims.						
NOTE: (See 37 CFR 1.116 and 41.33(a)).								
<ol> <li>The amendments are not in compliance with 37 CFR 1.1.</li> </ol>		mpliant Amendment (	PTOL-324).					
5. Applicant's reply has overcome the following rejection(s)								
Newly proposed or amended claim(s) would be all non-allowable claim(s).								
7.  For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is profile status of the claim(s) is (or will be) as follows:		i be entered and an e	xplanation of					
Claim(s) allowed: Claim(s) objected to:								
Claim(s) rejected: Claim(s) withdrawn from consideration:								
AFFIDAVIT OR OTHER EVIDENCE								
<ol> <li>The affidavit or other evidence filed after a final action, bu because applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e).</li> </ol>								
<ol> <li>The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to o showing a good and sufficient reasons why it is necessar.</li> </ol>	overcome <u>all</u> rejections under appea y and was not earlier presented. Se	ll and/or appellant fail ee 37 CFR 41.33(d)(1	s to provide a ).					
<ol> <li>The affidavit or other evidence is entered. An explanation REQUEST FOR RECONSIDERATION/OTHER</li> </ol>	n of the status of the claims after er	ntry is below or attach	ed.					
The request for reconsideration has been considered bu <u>See Continuation Sheet</u>		condition for allowan	ce because:					
12. Note the attached Information Disclosure Statement(s). (PTO/SB/08) Paper No(s)  13. Chier:								
/Anhtuan T. Nguyen/ Supervisory Patent Examiner, Art Unit 3731	DAVID EASTWOOD							

U.S. Patent and Trademark Office PTOL-303 (Rev. 08-06)

Continuation of 11, does NOT place the application in condition for allowance because:

The examiner is disinclined to acquiesce to applicant arguments. Regarding the Straub et al (IUS 5873882) reference in question applicant states that there is no cutting action between drive shaft 32 and rotor 16 or stator 14. The examiner respectfully disagrees. Drive shaft 32 rotates about guide wire 24 acting as a conveyer worm or conveyer screw to transport deposits along the tubular sheath (C4 L4-8). The rotation of drive shaft 32 causes a shearing action between the drive shaft 32 part of shearing surfaces 14b and c4 L10-12). Therefore Straub does discloses a working head (portions 14, 16 and surfaces 14b and c) that cooperates with a transport screw (driveshaft 32 and guidewire 24) to shear thrombi and emboil as required by claims 1 and 2.